

# Proof of the Alternating Sign Matrix and Descending Plane Partition Conjecture

Roger Behrend  
School of Mathematics  
Cardiff University

**Full details:** RB, P Di Francesco and P Zinn-Justin *On the weighted enumeration of alternating sign matrices and descending plane partitions*  
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# Alternating Sign Matrices (ASMs)

$$\text{ASM}(n) := \left\{ \begin{array}{l} n \times n \text{ matrices} \\ \left. \begin{array}{l} \bullet \text{ each entry } 0, 1 \text{ or } -1 \\ \bullet \text{ at least one nonzero entry in each row \& column} \\ \bullet \text{ nonzero entries alternate in sign along each} \\ \text{row \& column, starting \& ending with } 1 \end{array} \right\} \end{array} \right\}$$

- Arose during study of Dodgson condensation algorithm for determinant evaluation (*Mills, Robbins, Rumsey 1982; Robbins, Rumsey 1986*)
- Many subsequent appearances in combinatorics, algebra, mathematical physics, ...

- e.g.  $\text{ASM}(3) =$

$$\left\{ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 1 & -1 & 1 \\ 0 & 1 & 0 \end{pmatrix} \right\}$$

- e.g.  $\begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & -1 & 1 & 0 \\ 1 & -1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & -1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix} \in \text{ASM}(6)$

# Descending Plane Partitions (DPPs)

DPP( $n$ ) :=

$$\left\{ \begin{array}{l} \text{arrays } D_{11} \ D_{12} \ D_{13} \ \dots \ D_{1,\lambda_1} \\ \quad D_{22} \ D_{23} \ \dots \ D_{2,\lambda_2+1} \\ \quad \quad D_{33} \ \dots \ D_{3,\lambda_3+2} \\ \quad \quad \quad \ddots \quad \quad \quad \ddots \\ \quad \quad \quad \quad D_{tt} \ \dots \ D_{t,\lambda_t+t-1} \end{array} \middle| \begin{array}{l} \bullet \text{ each part (entry) a positive integer} \\ \bullet \text{ parts decrease weakly along rows} \\ \bullet \text{ parts decrease strictly down columns} \\ \bullet n \geq D_{11} > \lambda_1 \geq D_{22} > \dots \geq D_{tt} > \lambda_t \end{array} \right\}$$

- Arose during study of cyclically symmetric plane partitions (*Andrews 1979*)

- e.g.  $\text{DPP}(3) = \left\{ \emptyset, \begin{array}{c} 3 \ 3 \\ 2 \end{array}, 2, 3 \ 3, 3, 3 \ 2, 3 \ 1 \right\}$

- e.g.  $\begin{array}{cccc} 6 & 6 & 6 & 5 & 2 \\ & 4 & 4 & 1 & \\ & & 3 & & \end{array} \in \text{DPP}(6)$

# ASM Statistics

For  $A \in \text{ASM}(n)$

- $\nu(A) := \sum_{\substack{1 \leq i < i' \leq n \\ 1 \leq j' \leq j \leq n}} A_{ij} A_{i'j'}$   
= # of 'inversions' in  $A$
- $\mu(A) :=$  # of  $-1$ 's in  $A$
- $\rho(A) :=$  # of  $0$ 's to left of  $1$  in first row of  $A$

• e.g.  $A = \begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & -1 & 1 & 0 \\ 1 & -1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & -1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}$

$$\nu(A) = 5, \quad \mu(A) = 3, \quad \rho(A) = 3$$

# DPP Statistics

For  $D \in \text{DPP}(n)$

- $\nu(D) := \#$  of parts of  $D$  for which  $D_{ij} > j - i$   
=  $\#$  of 'nonspecial' parts in  $D$
- $\mu(D) := \#$  of parts of  $D$  for which  $D_{ij} \leq j - i$   
=  $\#$  of 'special' parts in  $D$
- $\rho(D) := \#$  of  $n$ 's in (first row of)  $D$

• e.g.  $D = \begin{array}{cccc} 6 & 6 & 6 & 5 & 2 \\ & 4 & 4 & 1 & \\ & & & & 3 \end{array} \in \text{DPP}(6)$

(special parts: 2 & 1)

$$\nu(D) = 7, \quad \mu(D) = 2, \quad \rho(D) = 3$$

# ASM & DPP Generating Functions

$$\bullet Z_{\text{ASM}}(n, x, y, z) := \sum_{A \in \text{ASM}(n)} x^{\nu(A)} y^{\mu(A)} z^{\rho(A)}$$

$$\bullet Z_{\text{DPP}}(n, x, y, z) := \sum_{D \in \text{DPP}(n)} x^{\nu(D)} y^{\mu(D)} z^{\rho(D)}$$

• e.g.  $\text{ASM}(3) =$

$$\left\{ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 1 & -1 & 1 \\ 0 & 1 & 0 \end{pmatrix} \right\}$$

$$\text{DPP}(3) = \left\{ \emptyset, \begin{matrix} 3 & 3 \\ & 2 \end{matrix}, 2, 33, 3, 32, 31 \right\}$$

gives  $Z_{\text{ASM}}(3, x, y, z) = Z_{\text{DPP}}(3, x, y, z) = 1 + x^3 z^2 + x + x^2 z^2 + xz + x^2 z + xyz$

# Main Result

**Theorem**  $|\{A \in \text{ASM}(n) \mid \nu(A) = p, \mu(A) = m, \rho(A) = k\}| =$   
 $|\{D \in \text{DPP}(n) \mid \nu(D) = p, \mu(D) = m, \rho(D) = k\}|$  for any  $n, p, m, k$

Equivalently  $Z_{\text{ASM}}(n, x, y, z) = Z_{\text{DPP}}(n, x, y, z)$  for any  $n, x, y, z$

- Conjectured *Mills, Robbins, Rumsey 1983*
- Proved *RB, Di Francesco, Zinn-Justin 2011*

## Structure of proof

- (a) Apply bijection between  $\text{ASM}(n)$  & {configurations of six-vertex model with domain-wall boundary conditions on  $n \times n$  grid}  
(b) Use Izergin–Korepin formula & certain transformations to give  
 $Z_{\text{ASM}}(n, x, y, z) = \det M_{\text{ASM}}(n, x, y, z)$  for  $n \times n$  matrix  $M_{\text{ASM}}(n, x, y, z)$
- (a) Apply bijection between  $\text{DPP}(n)$  & {certain nonintersecting lattice paths on  $n \times n$  grid}  
(b) Use Lindström–Gessel–Viennot theorem to give  
 $Z_{\text{DPP}}(n, x, y, z) = \det M_{\text{DPP}}(n, x, y, z)$  for  $n \times n$  matrix  $M_{\text{DPP}}(n, x, y, z)$
- Use elementary transformations of generating functions for entries of matrices to give  $\det M_{\text{ASM}}(n, x, y, z) = \det M_{\text{DPP}}(n, x, y, z)$

# Previously-Proved Special Cases

- $x = y = z = 1$  (straight enumeration of ASMs, DPPs)

$$|\text{ASM}(n)| = |\text{DPP}(n)| \left( = \prod_{i=0}^{n-1} \frac{(3i+1)!}{(n+i)!} = 1, 2, 7, 42, 429, 7436, \dots \right)$$

ASM formula: conjectured *Mills, Robbins, Rumsey 1982*  
proved *Zeilberger 1996, Kuperberg 1996*

DPP formula: *Andrews 1979*

- $x = y = 1$  ('refined' enumeration of ASMs, DPPs)

$$|\{A \in \text{ASM}(n) \mid \rho(A) = k\}| = |\{D \in \text{DPP}(n) \mid \rho(A) = k\}| \quad (= \text{product formula})$$

ASM formula: conjectured *Mills, Robbins, Rumsey 1983*, proved *Zeilberger 1996*

DPP formula: *Mills, Robbins, Rumsey 1982*

- $m = 0$  (permutation matrices, DPPs with no special parts)

*Mills, Robbins, Rumsey 1983*

- $m = 1$  (ASMs with single  $-1$ , DPPs with single special part)

*Lalonde 2002*

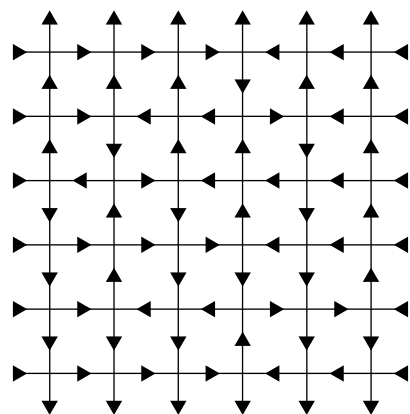
- Certain other cases: see *RB, Di Francesco, Zinn-Justin 2011*



# Configurations of Six-Vertex Model with Domain-Wall Boundary Conditions (DWBC)

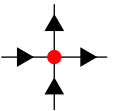
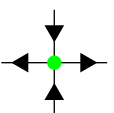
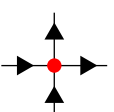
$$6VDW(n) := \left\{ \begin{array}{l} \text{edge orientations} \\ \text{of } n \times n \text{ grid} \end{array} \left| \begin{array}{l} \bullet \text{ 2 incoming \& 2 outgoing arrows at each} \\ \text{internal vertex } (\Rightarrow \text{ 6 possible vertex conf'ns}) \\ \bullet \text{ upper \& lower boundary arrows all outgoing,} \\ \text{left \& right boundary arrows all incoming} \end{array} \right. \right\}$$

• e.g.  $6VDW(3) = \left\{ \begin{array}{c} \begin{array}{ccccccc} \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow \\ \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \\ \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow \\ \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \end{array} \\ \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow & \uparrow \uparrow \uparrow \uparrow \uparrow \\ \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow & \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \\ \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow \downarrow \downarrow \\ \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow & \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \end{array} \end{array} \right\}$

• e.g.   $\in 6VDW(6)$

# Six-Vertex Model with DWBC Statistics

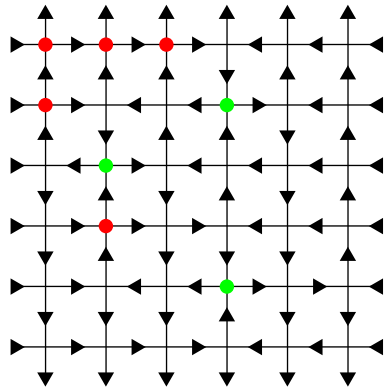
For  $C \in 6VDW(n)$

- $\nu(C) := \#$  of  vertex configurations in  $C$
- $\mu(C) := \#$  of  vertex configurations in  $C$
- $\rho(C) := \#$  of  vertex configurations in first row of  $C$

- numbers of other 4 vertex configurations in  $C$  satisfy

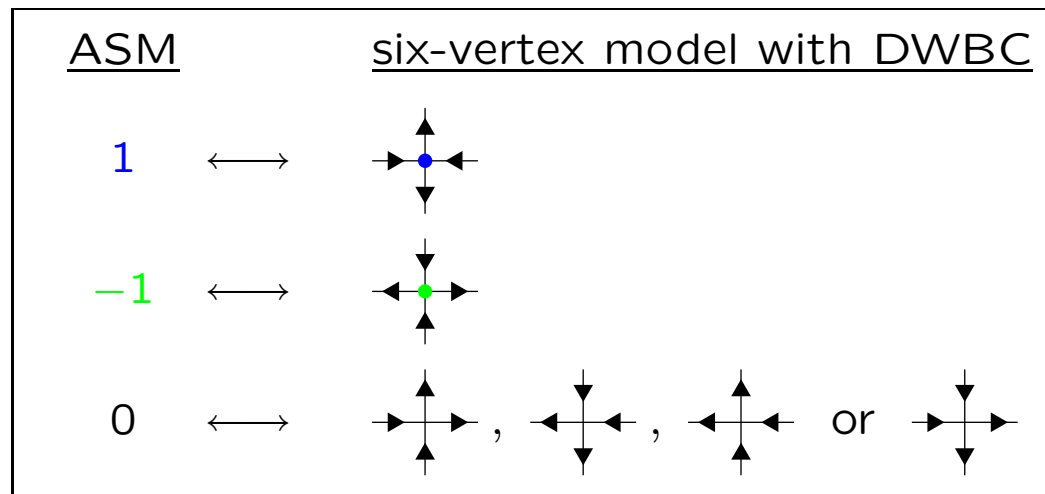
$$\left(\# \begin{array}{c} \uparrow \\ \downarrow \\ \leftarrow \\ \rightarrow \end{array}\right) = \nu(C), \quad \left(\# \begin{array}{c} \uparrow \\ \downarrow \\ \leftarrow \\ \rightarrow \end{array}\right) = \left(\# \begin{array}{c} \uparrow \\ \downarrow \\ \leftarrow \\ \rightarrow \end{array}\right) = \frac{n(n-1)}{2} - \nu(C) - \mu(C), \quad \left(\# \begin{array}{c} \uparrow \\ \downarrow \\ \leftarrow \\ \rightarrow \end{array}\right) = \mu(C) + n$$

- e.g.



$$\nu(C) = 5, \quad \mu(C) = 3, \quad \rho(C) = 3$$

# ASM( $n$ ) – 6VDW( $n$ ) Bijection

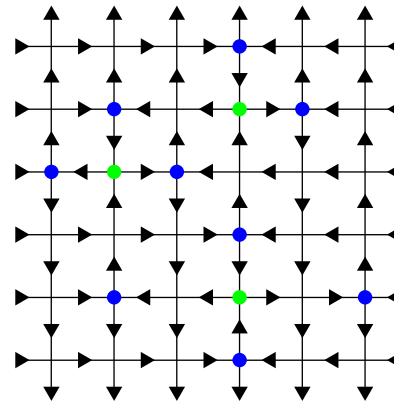


- Gives bijection between  $\{A \in \text{ASM}(n) \mid \nu(A) = p, \mu(A) = m, \rho(A) = k\}$  &  $\{C \in \text{6VDW}(n) \mid \nu(C) = p, \mu(C) = m, \rho(C) = k\}$   
(Elkies, Kuperberg, Larsen, Propp 1992)

- e.g.

$$\begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & -1 & 1 & 0 \\ 1 & -1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & -1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$

$\longleftrightarrow$



# Izergin–Korepin Formula

- Integrable vertex weights:

$\bar{a}(u, v)$      $\bar{a}(u, v)$      $\bar{b}(u, v)$      $\bar{b}(u, v)$      $\bar{c}(u, v)$      $\bar{c}(u, v)$

$$\bar{a}(u, v) := uq - \frac{1}{vq} \qquad \bar{b}(u, v) := \frac{u}{q} - \frac{q}{v} \qquad \bar{c}(u, v) := (q^2 - \frac{1}{q^2})\sqrt{\frac{u}{v}}$$

- $\frac{\bar{a}(u, v)^2 + \bar{b}(u, v)^2 - \bar{c}(u, v)^2}{\bar{a}(u, v)\bar{b}(u, v)} = q^2 + q^{-2} \implies$  Yang-Baxter equation satisfied

- Izergin–Korepin formula for partition function of six-vertex model with DWBC:

$$\begin{aligned}
 Z_{6VDW}(u_1, \dots, u_n; v_1, \dots, v_n) &:= \sum_{C \in 6VDW(n)} \prod_{i,j=1}^n \left( \begin{array}{l} \text{weight at vertex } (i, j) \text{ with} \\ \text{parameters } u_i, v_j \text{ in config'n } C \end{array} \right) \\
 &= \frac{\prod_{i=1}^n \bar{c}(u_i, v_i) \prod_{i,j=1}^n \bar{a}(u_i, v_j) \bar{b}(u_i, v_j)}{\prod_{1 \leq i < j \leq n} (u_i - u_j)(v_j^{-1} - v_i^{-1})} \det_{1 \leq i, j \leq n} \left( \frac{1}{\bar{a}(u_i, v_j) \bar{b}(u_i, v_j)} \right) \quad (\text{Izergin 1987}) \\
 &= \frac{\prod_{i=1}^n u_i^{1/2} v_i^{n+1/2} \prod_{i,j=1}^n \bar{a}(u_i, v_j) \bar{b}(u_i, v_j)}{\prod_{1 \leq i < j \leq n} (u_i - u_j)(v_i - v_j)} \det_{1 \leq i, j \leq n} \left( \frac{1}{u_i v_j - q^2} - \frac{1}{u_i v_j - q^{-2}} \right)
 \end{aligned}$$

# ASM Determinant

**Lemma**  $Z_{ASM}(n, x, y, 1) = \det_{0 \leq i, j \leq n-1} M_{ASM}(n, x, y)_{ij}$

where  $M_{ASM}(n, x, y)_{ij} := (1 - \omega) \delta_{ij} + \omega \sum_{k=0}^{\min(i, j)} \binom{i}{k} \binom{j}{k} x^k y^{i-k}$

&  $\omega$  is either solution of  $y\omega^2 + (1 - x - y)\omega + x = 0$

- Similar result for  $z \neq 1$  with last column of  $M_{ASM}(n, x, y)$  modified
- For straight enumeration  $M_{ASM}(n, 1, 1)_{ij} = e^{\pm i\pi/3} \delta_{ij} + e^{\mp i\pi/3} \binom{i+j}{i}$

## Proof

Let  $a := \bar{a}(r, r) = qr - \frac{1}{qr}$ ,  $b := \bar{b}(r, r) = \frac{r}{q} - \frac{q}{r}$ ,  $c := \bar{c}(r, r) = q^2 - \frac{1}{q^2}$ ,  $x := (\frac{a}{b})^2$ ,  $y := (\frac{c}{b})^2$

Then  $Z_{ASM}(n, x, y, 1) = \sum_{A \in ASM(n)} x^{\nu(A)} y^{\mu(A)} = \sum_{A \in ASM(n)} (\frac{a}{b})^{2\nu(A)} (\frac{c}{b})^{2\mu(A)}$

$= \sum_{C \in 6VDW(n)} (\frac{a}{b})^{2\nu(C)} (\frac{c}{b})^{2\mu(C)}$  [using  $ASM(n) - 6VDW(n)$  bijection]

$= \frac{1}{b^{n(n-1)} c^n} \sum_{C \in 6VDW(n)} a^{2\nu(C)} b^{n(n-1) - 2\nu(C) - 2\mu(C)} c^{2\mu(C) + n}$

$= \frac{1}{b^{n(n-1)} c^n} Z_{6VDW}(r, \dots, r; r, \dots, r)$  [using properties of vertex config'n numbers]

$$= \frac{r^{n(n+1)} a^{n^2} b^n}{c^n} \lim_{\substack{u_1, \dots, u_n \rightarrow r \\ v_1, \dots, v_n \rightarrow r}} \frac{1}{\prod_{1 \leq i < j \leq n} (u_i - u_j)(v_i - v_j)} \det_{1 \leq i, j \leq n} \left( \frac{1}{u_i v_j - q^2} - \frac{1}{u_i v_j - q^{-2}} \right)$$

[using Izergin–Korepin formula]

$$= \frac{r^{n(n+1)} a^{n^2} b^n}{c^n} \det_{0 \leq i, j \leq n-1} \left( [u^i v^j] \left( \frac{1}{(u+r)(v+r) - q^2} - \frac{1}{(u+r)(v+r) - q^{-2}} \right) \right)$$

[transforming det using divided differences, where  $[u^i v^j]$  denotes coeff. of  $u^i v^j$  in series expansion]

$$= \frac{r^{n(n+1)} a^{n^2} b^n}{c^n} \det \left( \frac{1}{q^{-2} - r^2} L\left(\frac{r}{q^{-2} - r^2}, \frac{1}{qr}\right) L\left(\frac{r}{q^{-2} - r^2}, \frac{1}{qr}\right)^t - \frac{1}{q^2 - r^2} L\left(\frac{r}{q^2 - r^2}, \frac{q}{r}\right) L\left(\frac{r}{q^2 - r^2}, \frac{q}{r}\right)^t \right)$$

[defining lower triangular matrix  $L(\alpha, \beta)_{ij} := \binom{i}{j} \alpha^i \beta^j$ ,  $0 \leq i, j \leq n-1$ ]

$$= \frac{r^{n(n+1)} a^{n^2} b^n}{c^n (q^{-1} - qr^2)^{n(n-1)}} \det \left( \frac{1}{q^{-2} - r^2} I - \frac{1}{q^2 - r^2} L\left(\frac{r}{q^{-2} - r^2}, \frac{1}{qr}\right)^{-1} L\left(\frac{r}{q^2 - r^2}, \frac{q}{r}\right) L\left(\frac{r}{q^2 - r^2}, \frac{q}{r}\right)^t \left(L\left(\frac{r}{q^{-2} - r^2}, \frac{1}{qr}\right)^t\right)^{-1} \right)$$

$$= \frac{r^{n(n+1)} a^{n^2} b^n}{c^n (q^{-1} - qr^2)^{n(n-1)}} \det \left( \frac{1}{q^{-2} - r^2} I + \frac{1}{r^2 - q^2} L\left(\frac{q^2 - q^{-2}}{q^{-1}r - qr^{-1}}, \frac{qr - (qr)^{-1}}{q^2 - q^{-2}}\right) L\left(\frac{q^2 - q^{-2}}{q^{-1}r - qr^{-1}}, \frac{qr - (qr)^{-1}}{q^2 - q^{-2}}\right)^t \right)$$

[using binomial coefficient properties]

$$= \det \left( (1 - \omega) I + \omega L\left(\frac{c}{b}, \frac{a}{c}\right) L\left(\frac{c}{b}, \frac{a}{c}\right)^t \right) \quad \text{[defining } \omega := \frac{r^2 - q^{-2}}{q^2 - q^{-2}}]$$

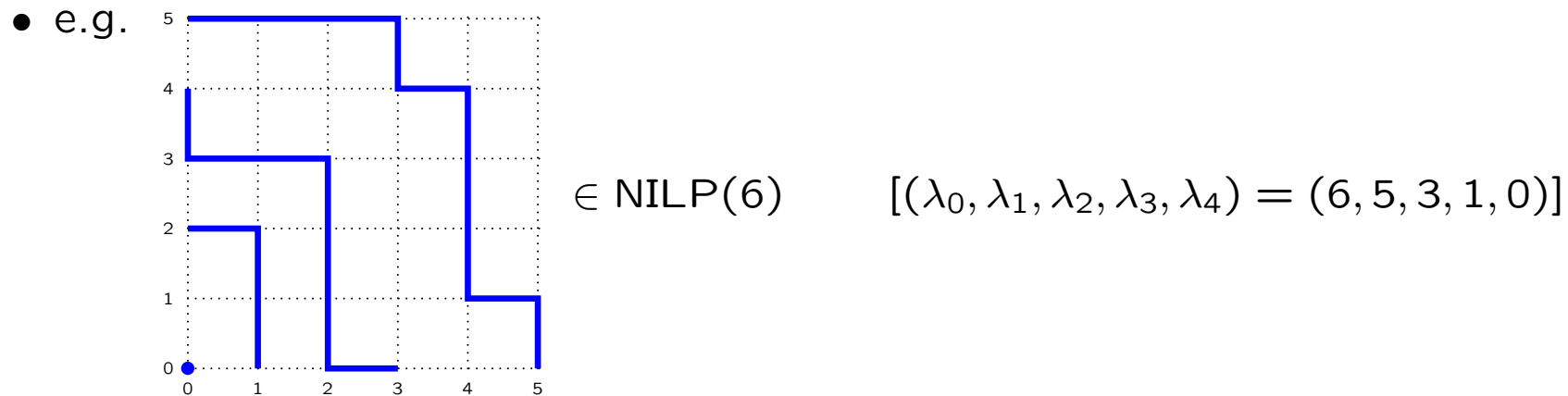
$$= \det M_{ASM}(n, x, y), \quad \text{with } y\omega^2 + (1 - x - y)\omega + x = 0$$

□

# Nonintersecting Lattice Paths

$$\text{NILP}(n) := \left\{ \begin{array}{l} \text{nonintersecting path} \\ \text{sets } P \text{ on } n \times n \text{ grid} \end{array} \left| \begin{array}{l} P \text{ consists of paths from } (0, \lambda_{i-1} - 1) \text{ to } (\lambda_i, 0) \\ \text{for each } i = 1, \dots, t + 1, \text{ with each step} \\ \text{rightward or downward, for some } 0 \leq t \leq n - 1 \\ \& n = \lambda_0 > \lambda_1 > \dots > \lambda_t > \lambda_{t+1} = 0 \end{array} \right. \right\}$$

• e.g.  $\text{NILP}(3) = \left\{ \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} , \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} , \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} , \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} , \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} , \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} , \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} \right\}$





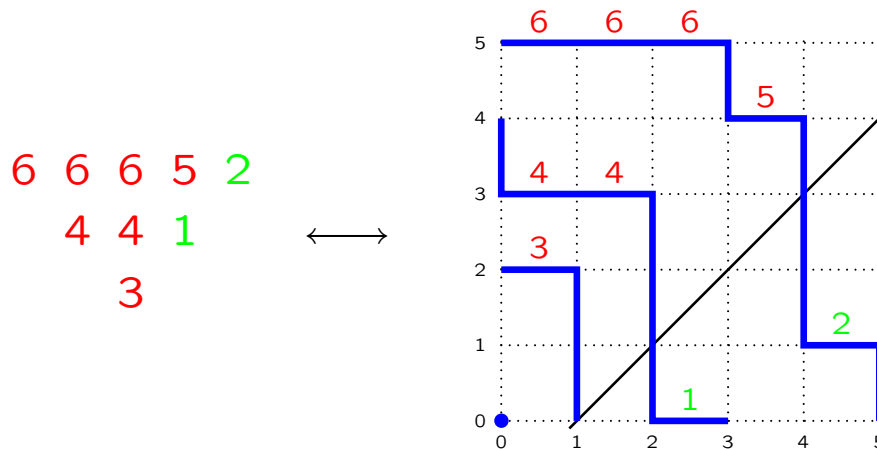


# DPP( $n$ ) – NILP( $n$ ) Bijection

<u>DPP</u>	<u>nonintersecting path set</u>
$D_{ij} - 1$	height of $(j - i + 1)$ th rightward step of $i$ th path from top

- Gives bijection between  $\{D \in \text{DPP}(n) \mid \nu(D) = p, \mu(D) = m, \rho(D) = k\}$  &  $\{P \in \text{NILP}(n) \mid \nu(P) = p, \mu(P) = m, \rho(P) = k\}$  (Lalonde 2002)

- e.g.



# Lindström–Gessel–Viennot Theorem

- Assign weight  $w(e)$  to each edge  $e$  of lattice
- Let  $W(p) := \prod_{\text{edges } e \text{ of } p} w(e)$  for any lattice path  $p$
- Let  $\mathcal{P}(j, i) := \{\text{lattice paths from } (0, j) \text{ to } (i, 0) \text{ with each step rightward or downward}\}$
- Let  $\mathcal{N}(j_1, \dots, j_n; i_1, \dots, i_n) := \left\{ \text{path sets } P \mid \begin{array}{l} \bullet P \text{ consists of path of } \mathcal{P}(j_k, i_k) \text{ for each } k = 1, \dots, n \\ \bullet \text{ different paths of } P \text{ do not intersect} \end{array} \right\}$

Then

$$\sum_{P \in \mathcal{N}(j_1, \dots, j_n; i_1, \dots, i_n)} \prod_{p \in P} W(p) = \det_{1 \leq i, j \leq n} \left( \sum_{p \in \mathcal{P}(j, i)} W(p) \right)$$

(Lindström 1973; Gessel, Viennot 1989)

# DPP Determinant

**Lemma**  $Z_{\text{DPP}}(n, x, y, 1) = \det_{0 \leq i, j \leq n-1} M_{\text{DPP}}(n, x, y)_{ij}$

where  $M_{\text{DPP}}(n, x, y)_{ij} := -\delta_{i,j+1} + \sum_{k=0}^{\min(i,j+1)} \binom{i-1}{i-k} \binom{j+1}{k} x^k y^{i-k}$

- Similar result for  $z \neq 1$  with last column of  $M_{\text{DPP}}(n, x, y)$  modified
- For straight enumeration  $M_{\text{DPP}}(n, 1, 1)_{ij} = -\delta_{i,j+1} + \binom{i+j}{i}$

## Proof

For edge  $e$ , let  $w(e) = \begin{cases} x, & e \text{ horizontal \& above line } \{(i, i-1)\} \\ y, & e \text{ horizontal \& below line } \{(i, i-1)\} \\ 1, & e \text{ vertical} \end{cases}$

Then DPP( $n$ ) – NILP( $n$ ) bijection,

fact that  $\text{NILP}(n) = \bigcup_{n-1 \geq \lambda_1 > \dots > \lambda_t \geq 1} \mathcal{N}(n-1, \lambda_1-1, \dots, \lambda_t-1; \lambda_1, \dots, \lambda_t, 0)$

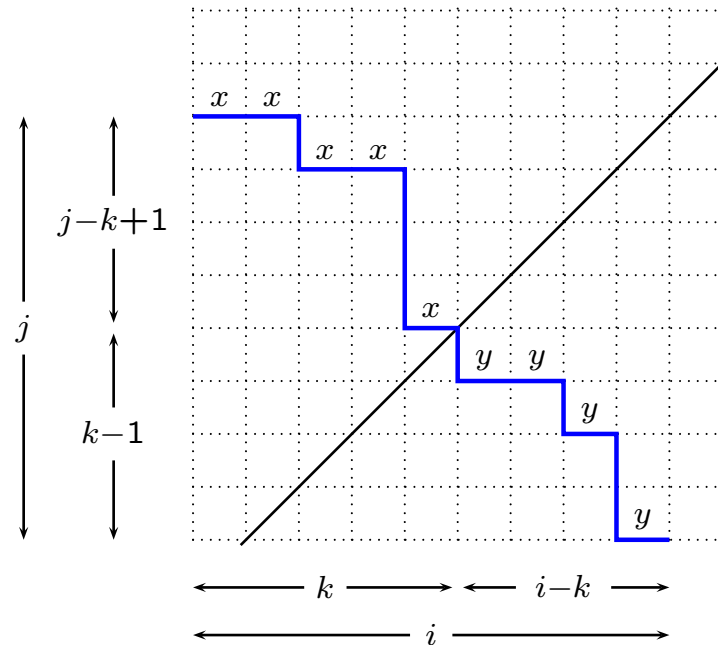
& Lindström–Gessel–Viennot theorem give

$$Z_{\text{DPP}}(n, x, y, 1) = \sum_{n-1 \geq \lambda_1 > \dots > \lambda_t \geq 1} \det_{\substack{i=0, \lambda_t, \dots, \lambda_1 \\ j=\lambda_t-1, \dots, \lambda_1-1, n-1}} \left( \sum_{p \in \mathcal{P}(j, i)} W(p) \right)$$

Identity  $\det_{0 \leq i, j \leq n-1} (-\delta_{i, j+1} + A_{ij}) = \sum_{T \subset \{1, \dots, n-1\}} \det \left( \begin{array}{l} \text{submatrix of } A \text{ with rows \& columns ind-} \\ \text{exed by } \{0\} \cup T \text{ \& } \{t-1 \mid t \in T\} \cup \{n-1\} \end{array} \right)$

gives  $Z_{\text{DPP}}(n, x, y, 1) = \det_{0 \leq i, j \leq n-1} \left( -\delta_{i, j+1} + \sum_{p \in \mathcal{P}(j, i)} W(p) \right)$

Geometry gives  $\sum_{p \in \mathcal{P}(j, i)} W(p) = \sum_{k=0}^{\min(i, j+1)} \binom{i-1}{i-k} \binom{j+1}{k} x^k y^{i-k}$



Therefore  $Z_{\text{DPP}}(n, x, y, 1) = \det M_{\text{DPP}}(n, x, y)$

□

# Equality of ASM & DPP Determinants

**Lemma**  $\det M_{ASM}(n, x, y) = \det M_{DPP}(n, x, y)$

where (as before)  $M_{ASM}(n, x, y)_{ij} = (1 - \omega) \delta_{ij} + \omega \sum_{k=0}^{\min(i,j)} \binom{i}{k} \binom{j}{k} x^k y^{i-k}$

$M_{DPP}(n, x, y)_{ij} = -\delta_{i,j+1} + \sum_{k=0}^{\min(i,j+1)} \binom{i-1}{i-k} \binom{j+1}{k} x^k y^{i-k}, \quad 0 \leq i, j \leq n-1$

&  $\omega$  satisfies  $y\omega^2 + (1 - x - y)\omega + x = 0$

## Proof

Let  $g_{ASM}(x, y; u, v) := \frac{1 - \omega}{1 - uv} + \frac{\omega}{1 - yu - v - (x - y)uv}$

$g_{DPP}(x, y; u, v) := \frac{1 - u}{(1 - v)(1 - uv)} + \frac{xu}{(1 - v)(1 - yu - v - (x - y)uv)}$

Then  $M_{ASM}(n, x, y)_{ij} = [u^i v^j] g_{ASM}(x, y; u, v)$  &  $M_{DPP}(n, x, y)_{ij} = [u^i v^j] g_{DPP}(x, y; u, v)$

where  $[u^i v^j]$  denotes coefficient of  $u^i v^j$  in series expansion

Eq'n satisfied by  $\omega$  implies  $(1 + (x - \omega y - 1)u) g_{ASM}(x, y; u, v) = (1 + (\omega - 1)v) g_{DPP}(x, y; u, v)$

Therefore  $(I + (x - \omega y - 1)S) M_{ASM}(n, x, y) = M_{DPP}(n, x, y) (I + (\omega - 1)S^t)$  with  $S_{ij} := \delta_{i,j+1}$

Therefore  $\det M_{ASM}(n, x, y) = \det M_{DPP}(n, x, y)$  □

# Summary

- $ASM(n) - 6VDW(n)$  bijection & transformed Izergin–Korepin formula give  
 $Z_{ASM}(n, x, y, 1) = \det M_{ASM}(n, x, y)$
- $DPP(n) - NILP(n)$  bijection & Lindström–Gessel–Viennot theorem give  
 $Z_{DPP}(n, x, y, 1) = \det M_{DPP}(n, x, y)$
- Elementary transformations give  $\det M_{ASM}(n, x, y) = \det M_{DPP}(n, x, y)$
- Therefore  $Z_{ASM}(n, x, y, 1) = Z_{DPP}(n, x, y, 1)$
- Similar process with last columns of  $M_{ASM}(n, x, y)$  &  $M_{DPP}(n, x, y)$  modified gives  $Z_{ASM}(n, x, y, z) = Z_{DPP}(n, x, y, z)$
- Therefore **ASM – DPP conjecture valid**
- Byproduct of proof: new determinant formulae for weighted ASM enumeration, or for partition function of homogeneous six-vertex model with DWBC  
 e.g.  $c^n \det_{0 \leq i, j \leq n-1} \left( -b^{2i} \delta_{i, j+1} + \sum_{k=0}^{\min(i, j+1)} \binom{i-1}{i-k} \binom{j+1}{k} a^{2k} c^{2(i-k)} \right)$

# Work in Progress

- Doubly-refined theorem involving further boundary statistic
- Theorem involving vertically symmetric ASMs & DPPs invariant under certain Mills–Robbins–Rumsey symmetry operation

# Further Questions

- ASM properties corresponding to certain natural DPP properties
  - e.g. ASM statistic corresponding to  $\#$  of rows of DPP?
  - ASM statistic corresponding to sum of parts of DPP?
- DPP properties corresponding to certain natural ASM properties
  - e.g. DPP statistics corresponding to positions of 1 in first or last column of ASM?
  - DPP operations corresponding to  $\pi/2$ -rotation or transposition of ASM?
- Natural bijection between ASMs & DPPs?